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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
Only for Continuation or Divisional applications under 37 CFR 1.53(d) CHECK BOX, IF APPLICABLE
[] **DUPLICATE**

Address to: Assistant Commissioner for Patents Box CPA Washington, D.C. 20231	Attorney Docket No. of Prior Application	1871-129
	First Named Inventor	Roger John Daly
	Examiner Name	O. Chernyshev
	Group Art Unit	1646
	Express Mail Label No.	

This is a request for a continuation application under 37 CFR 1.53(d) (continued prosecution application (CPA)) of prior application number 09/509,196 filed on March 23, 2000 entitled A POTENTIAL EFFECTOR FOR THE GRB7 FAMILY OF SIGNALLING PROTEINS.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. 371

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney for authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

10/03/2001 SLUANG1 00000042 09509196

01 FC:131 740.00 OP
02 FC:117 920.00 OP

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE (Large Entity)	(5) CALCULATIONS
	TOTAL CLAIMS	18 -20 =	0	X \$ 18.00 =	\$ 0
	INDEPENDENT CLAIMS	2 - 3 =	0	X \$ 84.00 =	0
	MULTIPLE DEPENDENT CLAIM (if applicable)			+ \$280.00 =	0
				BASIC FEE	\$ 740.00
				TOTAL =	\$ 740.00

6. Small entity status:

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27
7. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
8. ☒ A check in the amount of \$ 1,660.00 is enclosed to cover the \$740.00 filing fee and the \$920.00 request for a three month extension of time.
9. ☐ Payment by credit card. Form PTO-2038 is enclosed.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of ____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) enclosed.
11. ☐ New Attorney Docket Number, if desired _____
12. a ☐ Receipt for Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☐ Request and certification under 35 USC 122(b)(2)(B)(i) for nonpublication.
14. ☒ Other: Substitute Declaration and Power of Attorney

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

14. NEW CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label 6449					
Name					
Address		Rothwell, Figg, Ernst & Manbeck Suite 701-East, 555 13th Street, N.W.			
City	Washington	State	D.C.	Zip Code	20004
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031
15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED					
NAME & REG. NUMBER		Barbara G. Ernst, Reg. No. 30,377			
SIGNATURE		<i>Barbara G. Ernst</i>			
DATE		<i>October 2, 2001</i>			